

Asset Management Company: IL&FS Infra Asset Management Limited (AMC)

CIN: U65191MH2013PLC239438

Registered Office: The IL&FS Financial Centre, 7th Floor, Plot C-22, G Block, Bandra Kurla Complex, Bandra East, Mumbai-400051, India

Website: www.ilfsinfrafund.com

NOTICE CUM ADDENDUM No. 36/2017

Notice cum addendum to the Private Placement Memorandum (PPM) of all existing schemes of IL&FS Mutual Fund (IDF) and Statement of Additional Information (SAI) of IL&FS Mutual Fund (IDF) ('the Fund')

Implementation of the Prevention of Money-laundering (Maintenance of Records) Second Amendment Rules, 2017 with respect to seeding of Aadhaar number:

Investors are requested to note that the Ministry of Finance (Department of Revenue) in consultation with the Reserve Bank of India has made certain amendments to the Prevention of Money-laundering (Maintenance of Records) Rules, 2005, namely, the Prevention of Money-laundering (Maintenance of Records) Second Amendment Rules, 2017. These Rules have come into force with effect from June 1, 2017. These Rules, inter alia, make it mandatory for investors to submit Aadhaar number issued by the Unique Identification Authority of India (UIDAI) in respect of their investments

Accordingly, investors are requested to note the following requirements in relation to submission of Aadhaar number and other prescribed details to IL&FS Mutual Fund (IDF) / its Registrar and Transfer Agent / Asset Management Company ("the AMC"):

- i. Where the investor is an individual, who is eligible to be enrolled for Aadhaar number, the investor is required to submit the Aadhaar number issued by UIDAI. Where the Aadhaar number has not been assigned to an investor, the investor is required to submit proof of application of enrolment for Aadhaar. If such an individual investor is not eligible to be enrolled for Aadhaar number, and in case the Permanent Account Number (PAN) is not submitted, the investor shall submit one certified copy of an officially valid document containing details of his identity and address and one recent photograph along with such other details as may be required by the Mutual Fund

The investor is required to submit PAN as defined in the Income Tax Rules, 1962

- ii. Where the investor is a non-individual, apart from the constitution documents, Aadhaar numbers and PANs as defined in Income-tax Rules, 1962 of managers, officers or employees or persons holding an attorney to transact on the investor's behalf is required to be submitted. Where an Aadhaar number has not been assigned, proof of application towards enrolment for Aadhaar is required to be submitted and in case PAN is not submitted an officially valid document is required to be submitted. If a person holding an authority to transact on behalf of such an entity is not eligible to be enrolled for Aadhaar and does not submit the PAN, certified copy of an officially valid document containing details of identity, address, photograph and such other documents as prescribed is required to be submitted

The timelines for submission of the Aadhaar numbers, as per the requirements stated above, are as follows:

i. Requirements for all folios prior to June 01, 2017:

For folios existing prior to June 01, 2017, investors are required to submit the requisite details / documents, as stated above, by **December 31, 2017**. In case of failure by such investors, to submit the above details by December 31, 2017, the folios of such investors shall cease to be operational till the time the above details are submitted by the investors

ii. Requirements for folios opened between June 01, 2017 and October 15, 2017:

For folios opened between **June 01, 2017 and October 15, 2017**, investors are required to submit the requisite details / documents, as stated above, by **December 31, 2017**. In case of failure by such investors, to submit the above details by December 31, 2017, the folios of such investors shall cease to be operational till the time the above details are submitted by the investors

iii. Requirements for folios opened from October 16, 2017 to December 31, 2017:

For all folios opened from **October 16, 2017 to December 31, 2017**, investors are required to submit the requisite details / documents, as stated above, at the time of account opening. In case of failure by such investors, to submit the above details at the time of account opening, the AMC may, at its sole discretion, open the account pending receipt of the requisite details/ documents. In such cases where the AMC decides to open the account, if the investors fail to submit the requisite details/ documents by **December 31, 2017**, the folios of such investors shall cease to be operational till the time the above details are submitted by the investors

iv. Requirements for folios opened from January 01, 2018:

For all folios opened **from January 01, 2018**, investors are required to submit the requisite details / documents, as stated above, at the time of account opening. No new folios shall be opened from January 01, 2018, if the requisite details / documents, as stated, are not submitted at the time of account opening

Where the investors who are individuals or in the case of investors who are non-individuals, managers, officers or employees or persons holding an attorney to transact on the investor's behalf, as the case may be, do not have an Aadhaar number, the proof of enrolment for Aadhaar can be submitted. However, in such cases, the Aadhaar number shall be required to be provided for eventual authentication within the prescribed timeframe of 6 months as aforesaid, failing which the account / folio shall cease to be operational

It may be noted that the requirement of submitting Form 60 as prescribed in the aforesaid notification is not applicable for investment in mutual fund units

Necessary / incidental changes, if any, shall be made in the PPM of all the existing Schemes of the Fund and SAI of the Fund in the above regard

This Addendum forms an integral part of the PPM of the Schemes of the Fund and SAI of the Fund

All other terms and conditions of the PPM of the Schemes of the Fund and SAI of the Fund will remain unchanged

For **IL&FS Infra Asset Management Limited**
(Asset Manager to IL&FS Mutual Fund (IDF))

Sd/-
Authorised Signatories

Date: October 16, 2017

Mutual Fund investments are subject to market risks, read all scheme related documents carefully